



IFW
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/549,344

Filed: May 19, 2006

For: Closure Device for a Container Made of
Laminated Paper or Cardboard

Inventors: Werner Fritz Dubach

Atty Doc. No.: 312-05

Certificate of Mailing

I hereby certify that this
correspondence is being deposited with
the United States Post Office as first
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envelope addressed to Commissioner
for Patents, P.O. Box 1450, Alexandria,
VA 22313-1450, on August 22, 2006.

John F. McNulty
John F. McNulty, Reg. No. 23,028
Dated: August 22, 2006

COVER LETTER WITH CERTIFICATE OF MAILING

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed herewith are the following:

- (1) Cover Letter with Certificate of Mailing;
- (2) Correction of filing receipt;
- (3) Copy of filing receipt;
- (4) Copy of Notice of Recordation;
- (5) Paul & Paul Postcard to be returned by the PTO.

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY ADDITIONAL
FEES ASSOCIATED WITH THIS COMMUNICATION, OR CREDIT ANY
OVERPAYMENT, TO PAUL & PAUL DEPOSIT ACCOUNT NO. 16-0750, ORDER NO.

4188.

Respectfully submitted,

John F. McNulty
John F. McNulty

Reg. No. 23,028

Paul & Paul

2900 Two Thousand Market St.

Philadelphia, PA 19103

(215) 568-4900



PATENT

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Serial No.: 10/549,344

Filed: May 19, 2006

For: Closure Device for a Container Made of Laminated Paper or Cardboard

Inventors: Werner Fritz Dubach

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REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

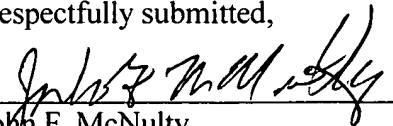
Dear Sir:

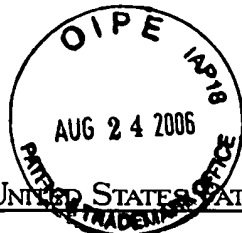
Please correct the filing receipt for the above-referenced application as follows:

- 1) The correct "Assignment for Published Patent Application" (Assignee) is:
Bericap Holding GmbH
Budenheim, GERMANY

A photocopy of the filing receipt with changes indicated in red is included herewith. Also, attached hereto please find a copy of the Notice of Recordation showing the Assignee of record for this application.

Respectfully submitted,


John F. McNulty
Reg. No. 23,028
Paul & Paul
2900 Two Thousand Market St.
Philadelphia, PA 19103
(215) 568-4900

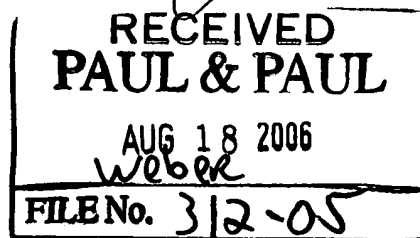
JFm, FLP

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/549,344	05/19/2006	3727	1390	312-05	2	10	1

27569
 PAUL AND PAUL
 2000 MARKET STREET
 SUITE 2900
 PHILADELPHIA, PA 19103

DOCKETING

CONFIRMATION NO. 6698

FILING RECEIPT

OC000000020019759

Date Mailed: 08/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Werner Fritz Dubach, Maur, SWITZERLAND;

Assignment For Published Patent Application~~TERXO AG, Wetzikon-Kempton, SWITZERLAND~~*Bericup Holding GmbH, Budenheim, Germany***Power of Attorney:** The patent practitioners associated with Customer Number 27569.**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/CH04/00150 03/15/2004

Foreign Applications

SWITZERLAND 479/03 03/21/2003

If Required, Foreign Filing License Granted: 08/14/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/549,344**

Projected Publication Date: 11/23/2006**Non-Publication Request:** No**Early Publication Request:** No

Title

Closure device for a container made of laminated paper or cardboard

Preliminary Class

229

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

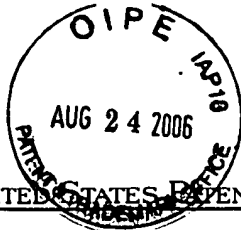
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/549,344	Werner Fritz Dubach	312-05

INTERNATIONAL APPLICATION NO.	
PCT/CH04/00150	
I.A. FILING DATE	PRIORITY DATE

27569
 PAUL AND PAUL
 2000 MARKET STREET
 SUITE 2900
 PHILADELPHIA, PA 19103

CONFIRMATION NO. 6698

371 ACCEPTANCE LETTER



OC000000020019760

Date Mailed: 08/15/2006

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>05/19/2006</u>	<u>05/19/2006</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 09/14/2005
- English Translation of the IA filed on 09/14/2005
- Copy of the International Search Report filed on 09/14/2005
- Information Disclosure Statements filed on 09/14/2005
- Oath or Declaration filed on 05/19/2006
- Request for Immediate Examination filed on 09/14/2005
- U.S. Basic National Fees filed on 09/14/2005
- Priority Documents filed on 09/14/2005
- Power of Attorney filed on 05/19/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

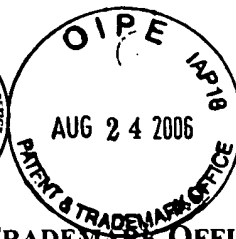
SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

DOCKETING



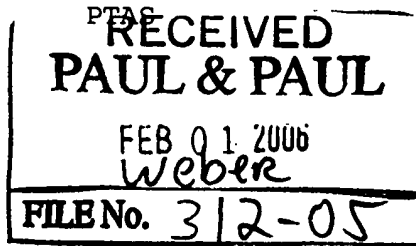
JFM

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 26, 2006

JOHN F. MCNULTY
PAUL & PAUL
2000 MARKET STREET
SUITE 2900
PHILADELPHIA, PA 19103



103098765A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 10/11/2005

REEL/FRAME: 017066/0396

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 312-05

ASSIGNOR:

DUBACH, WERNER FRITZ

DOC DATE: 09/20/2005

ASSIGNEE:

BERICAP HOLDING GMBH
KIRCHSTRASSE 5
BUDENHEIM, GERMANY 55257

SERIAL NUMBER: 10549344

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: CLOSURE DEVICE FOR A CONTAINER MADE OF LAMINATED PAPER OR CARDBOARD

017066/0396 PAGE 2

THERESA FREDERICK, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

10-13-2005

Docket No.: 312-05

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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103098765

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Werner Fritz Dubach

2. Name and address of receiving party(ies):

Name: Bericap Holding GmbH

Address: Kirchstrasse 5

55257 Budenheim

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Budenheim State/Prov.: _____

Country: Germany ZIP: 55257

Execution Date: Sept. 20, 2005

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/549,344

September 14, 2005

Additional numbers

☐ Yes ☒ No

2005 OCT 11 AM 12:23
OPR/FINANCE

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John F. McNulty

Registration No. 23,028

Address: Paul & Paul

2000 Market Street

Suite 2900

City: Philadelphia State/Prov.: PA

Country: USA ZIP: 19103

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

16-0750 10/12/2005 LMUELLER 00000121 10549344

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John F. McNulty

Name of Person Signing

[Signature]
Signature

October 7, 2005

Date

Total number of pages including cover sheet, attachments, and document:

2

Mail documents to be recorded with required cover sheet information to:

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